

May 3 is World Press Freedom Day; May 22 is International Day of Biological Diversity; May 29 is International Day of UN Peacekeepers

News of April 2012

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The following is number twenty-nine in a series of brief discussions of the Articles of the Universal Declaration of Human Rights (UDHR) and the archival holdings that relate to them.

<u>Universal Declaration of Human Rights, Article 27.</u> (1) Everyone has the right to participate in the cultural life of the community, to enjoy the arts and to share in scientific advancement and its benefits. (2) Everyone has the right to the protection of the moral and material interests resulting from any scientific, literary or artistic production of which he is the author.

Article 27 seems to both give and take away, to emphasize shared ownership and private ownership. The first paragraph gives everyone the right to "enjoy" and "share" while the second paragraph gives an individual the right to protect the "moral and material interests" in his or her work. However, as broad as the claim in paragraph one appears to be, Johannes Morsink points out that it says the community, thereby implicitly denying that multiple communities exist within states and that minorities have a right to participate within their own cultural communities. The debates over minority rights within the drafting sessions of the UDHR were contentious and complex, but ultimately the Declaration did not include a separate article on minority rights, although minority rights are expressly considered in the Articles on religion and education. (Morsink, The Universal Declaration of Human Rights: Origins, Drafting and Intent, pp. 269-280). The second paragraph put the drafters squarely within debates over copyright and patent law, and some delegates argued that these law-based concepts should not be included in the Declaration. The second paragraph also brought into the open conflicting international positions on whether creators have a "moral right" to control their work even after the work is sold or copyright lapses. However, several factors contributed to the final addition of these two paragraphs: the influence of the newly created UNESCO with its emphasis on culture; the June 1948 conference of the Berne International Copyright Convention which revised the moral rights clause in the Convention; and the April 1948 American Declaration of the Rights and Duties of Man which included a copyright provision. Nonetheless, it remains an Article embodying two very distinct ideas.

The 1966 International Covenant on Economic, Social and Cultural Rights followed the Declaration's lead, writing in Article 15(1)(c) that each state party must "recognize the right of everyone . . . [t]o benefit from the protection of the moral and material interests resulting from any scientific, literary or artistic production of which he [or she] is the author." Peter K. Yu notes, in his in-depth analysis of UDHR's Article 27, the Covenant's Article 15 and human rights, that "the meaning of article 27(2) of the UDHR and article 15(1)(c) of the ICESCR has not changed significantly since the adoption of the instruments," giving them a "significant impact" on the development of property law regimes. (Peter K. Yu, "Reconceptualizing Intellectual Property Interests in a Human Rights Framework," http://74.220.219.58/~drafting/sites/default/files/paper_article/Yu_Human%20Rights%20and%2 0IP%20Framework.pdf.) The International Council of Archives has a Working Group on Intellectual Property that deals with copyright and related issues, and similar groups are found in organizations representing libraries and related fields. Emphasizing the scientific element in the Article, the American Association for the Advancement of Science established a Scientific Responsibility, Human Rights and Law Program to address "ethical, legal and human rights issues related to the conduct and application of science and technology." http://shr.aaas.org/

As archivists know, the issues of cultural life and creator rights are a central theme of our work. Records of UNESCO and the World Intellectual Property Organization are key to understanding the development of these rights, as are those of governmental cultural agencies, patent, trademark and copyright offices, courts and legislatures. Private sector records extensively document these rights, too. For example, the Dartmouth Jewish Sound Archive recently announced its online database of nearly 40,000 songs, broadcasts and interviews that reflect a range of Jewish cultural life: http://thedartmouth.com/2012/04/16/news/archive. The on-going fight over who owns the rights to the papers of Franz Kafka was in the news in April with an Israeli police investigation of whether some Kafka manuscripts found a month ago were stolen http://www.haaretz.com/news/national/israel-police-probing-possible-theft-of-kafka-papers-1.426392. And in the Philippines, the president directed all government agencies to turn over their original films and other audio-visuals to the National Film Archive to preserve the country's artistic and historic wealth. http://www.zambotimes.com/archives/46611-Aquino-orders-preservation-of-all-Philippine-films-and-audio-visuals.html. Whatever the source, every archives holds items relevant to the rights outlined in Article 27.

General.

Every news organization in the world carried articles on the conviction of Charles Taylor by the Special Court for Sierra Leone. The trial, which began in 2006, produced almost 50,000 pages of transcript and used over 1000 exhibits, making it an enormous record of the violence inflicted on Sierra Leone between 1996 and 2002. Records of radio and telephone conversations between Taylor and the rebels in Sierra Leone were key exhibits in the trial.

Swisspeace launched its website "Archives and dealing with the past" in support of a joint project of the Swiss Federal Department of Foreign Affairs and the Swiss Federal Archives in cooperation with Swisspeace. The aim of the project is to provide a support to governments, international organizations and NGOs related to the protection of archives that document human

rights violations. The project is conceived as a hub, offering "a platform of contact between actors who need support and experts in this field, as well as a platform of exchange of best practices and lessons learned, for practitioners and experts in both archival and dealing with the past/transitional justice fields." http://www.swisspeace.ch/topics/dealing-with-the-past/activities/archives-and-dwp.html

Kate Doyle of the National Security Archive, a U.S. non-governmental organization, provided expert witness testimony before the Inter-American Court of Human Rights in the case focusing on victims shown in the Diario Militar (Case 12.590, Gudiel Alvarez et al. (Diario Militar) vs. Guatemala). The Diario is a logbook of the activities of a Guatemalan death squad during the civil war. For an excerpt of Doyle's testimony, see

http://www.gwu.edu/~nsarchiv/NSAEBB/NSAEBB378/ and for a blog posting on the trial, see http://nsarchive.wordpress.com/2012/05/03/the-death-squad-diary-hearing/Kate. Doyle and Fredy Peccerelli, Executive Director of the Guatemalan Forensic Anthropology Foundation, are this year's recipients of the ALBA/Puffin Award for Human Rights Activism, one of the largest human rights awards in the world, given jointly by ALBA and the Puffin Foundation. The announcement of Doyle's award commends her advocacy "for the declassification of U.S. government archives in support of" criminal investigations in Mexico, Guatemala, El Salvador and Honduras. http://www.albavolunteer.org/2012/03/2012-alba-puffin-human-rights-award-honors-fight-against-impunity-in-guatemala/

International news.

Al-Qaeda. In late April the U.S. began giving journalists access to records seized during the raid on Osama bin-Laden's compound in 2011. According to the *Washington Post*, the records show the planning and strategy and alliances that al-Qaeda maintained. http://www.stripes.com/mobile/news/al-qaida-is-weaker-without-bin-laden-but-its-franchise-

http://www.stripes.com/mobile/news/al-qaida-is-weaker-without-bin-laden-but-its-franchise-persists-1.175887 These findings support the analysis of the bureaucracy needed to run terrorist organizations described in a January article by Jacob Shapiro and David Siegel, "Moral Hazard, Discipline, and the Management of Terrorist Organizations," *World Politics* (2012) 64, 39-78; for an abstract see http://www.princeton.edu/piirs/worldpolitics-journal/issues/v64n1/shapiro-siegel.xml.

<u>Al-Qaeda/United States.</u> A new book by the retired CIA officer who ordered the destruction of video tape showing waterboarding in Thailand of al-Qaeda members Abu Zubaydah and Abd al-Nashiri says he decided to destroy the tapes because "'I wasn't going to sit around for three years waiting for people to get up the courage' to do what CIA lawyers said he had the authority to do," the *Associated Press* reported. He called his order to destroy "just getting rid of some ugly visuals." http://www.foxnews.com/us/2012/04/24/ex-spy-destroying-cia-tapes-purged-ugly-visuals/

Moldova/United States. During the Second World War, tens of thousands of Romanian Jews were killed by the Nazis and Romanian military forces acting with them. After the USSR took control in 1944 of the area in what is today Moldova, the Moldovan KGB carried about "about 50 investigations and trials" that continued into the late 1950s, investigating the killings. Copies

of about 15,000 pages of testimony, interrogation transcripts, and other documents related to the trials were given by the Government of Moldova to the U.S. Holocaust Museum at the end of March.

http://www.rferl.org/content/archive_reveals_new_details_of_moldova_holocaust/24540310.htm

<u>United Kingdom/former colonies.</u> The National Archives, acting on behalf of the Foreign and Commonwealth Office (FCO), released more than 1,200 records from 12 former colonial territories; these records were recently "discovered" in a government center in Buckinghamshire. (See April 2011 *HRWG News* for background.)

http://www.guardian.co.uk/uk/2012/apr/18/britain-destroyed-records-colonial-crimes?newsfeed=true. William Hague, the foreign secretary, said that under the obligations of the Public Records Act 1958, the FCO should have assessed the documents and passed any of historical interest to the National Archives at Kew in Surrey and he commissioned an inquiry by Anthony Cary, the former British high commissioner to Canada, to establish what had gone wrong and what lessons could be learned. http://www.guardian.co.uk/uk/2012/apr/18/sins-colonialists-concealed-secret-archive?newsfeed=true. For a critical commentary on the release of the records by historian Caroline Elkins, who won the Pulitzer Prize for her book *Britain's Gulag: The Brutal End of Empire in Kenya*, see

http://www.guardian.co.uk/politics/2012/apr/18/colonial-papers-fco-transparency-myth?newsfeed=true

National news.

<u>Albania.</u> A new report by the World Bank identifies the "inefficiencies in [land] registry operations" as contributing to "land abandonment on a large scale." http://www-wds.worldbank.org/servlet/WDSContentServer/WDSP/IB/2012/04/09/000158349_20120409095_922/Rendered/PDF/WPS6032.pdf

<u>Bosnia.</u> At a press conference announcing that Sarajevo Siege Museum will be constructed to commemorate the 1992-1996 siege of the city during the Balkan war, the "Virtual FAMA collection – The Siege of Sarajevo" debuted. The collection contains "over 1000 interviews with around 450 people from Sarajevo, a survey about the survival in Sarajevo, over 10,000 text messages related to the period between 1991 and 1995," *BIRN* reported. http://www.balkaninsight.com/en/article/the-siege-museum-new-symbol-of-sarajevo. To view the Virtual FAMA, see http://famacollection.org/eng/

Canada. The *National Post* began investigating reports of "coerced adoptions targeting single women from the 1940s to the 1980s." In response, three churches announced internal reviews of the records of the maternity homes they operated during the period. Four archivists and one researcher are working with the United Church of Canada, and the Presbyterian Church in Canada and the Salvation Army also are reviewing their archives. The newspaper reported that "at least 100 mothers" contacted it to report that "they were coerced or forced by social workers, medical professionals and maternity-home staff into handing over their illegitimate babies." http://news.nationalpost.com/2012/04/22/united-church-archives-ground-zero-in-search-for-

evidence-of-forced-adoptions/

Employment records belonging to "about 30 people who had applied for employment insurance, providing their names, pervious employer, severance pay, social insurance numbers and signature," were sent accidentally by Service Canada to private house in Toronto. The couple opened the envelope containing the records, then turned it over to Service Canada. http://www.theglobeandmail.com/news/national/sensitive-documents-end-up-at-toronto-home-in-service-canada-blunder/article2377207/

In a \$27-billion class-action lawsuit against three tobacco companies, a former tobacco company lawyer admitted that he "helped destroy dozens of company research documents, some of which dated back decades and spelled out health risks associated with smoking," the *Globe and* Mail reported. In a commentary on the case, *Corporate Counsel* discussed the records management issues, noting that "when exactly" the tobacco company "instituted its document retention policy has been at issue in the trial." The case is another example of the importance of corporate records for protecting human rights.

http://www.law.com/jsp/cc/PubArticleCC.jsp?id=1202548140932&ExImperial_Tobacco_GC_Q_uestioned_Over_Destroying_Health_Documents

A judge of the Quebec Superior Court granted a request by the Quebec government for a temporary injunction to stop the government of Canada from destroying the long-gun-registry records, *National Post* reported. The government in Quebec "repeatedly" asked the Canadian government "to hand over records on the 1,560,359 long guns registered in Quebec so that it could use the information to create its own registry," but the federal government has refused. (For background, see the October and December 2011 *HRWG News*.) http://news.nationalpost.com/2012/04/05/destruction-of-long-gun-registry-records-halted-at-eleventh-hour-by-quebec-court/

Egypt. Candidates for the presidency of Egypt must have parents who were Egyptian citizens. A mother of a candidate for president of Egypt, Sheik Hazem Salah Abu Ismail, was a U.S. citizen according to California public records and a Los Angeles voter registration Web site. The Egyptian Interior Ministry confirmed her citizenship; the candidate was disqualified. http://latimesblogs.latimes.com/world_now/2012/04/islamists-rally-to-rescue-salafi-presidential-runners-bid.html

Georgia. While not strictly an archives story, the announcement by the Georgian Culture Minister that the museum honoring Josef Stalin would be "remodeled" to "exhibit the atrocities that were committed during the Soviet dictator's rule," is surely worth noting. The museum, opened in 1937 in the town of Gori where Stalin was born, has "some 47,000 exhibits, including his personal belongings and death masks," reported *The St. Petersburg Times*. http://www.times.spb.ru/index.php?action_id=2&story_id=35449

<u>India.</u> As reported in the March 2012 *HRWG News*, researchers are taking up the cause of improvement for the Indian archives system. In April, Sunil Khilnani, the director of King's

India Institute, contributed a column to the *Wall Street Journal's* on-line edition,writing, "Archiving is important in a democracy. An understanding of the past can act as guide for what it should do next." http://www.livemint.com/2012/04/20154016/What-we-leave-for-the-future.html Mushirul Hasan, the director general of the National Archives of India, gave an interview to *The Times of India*, in which he argued that the "National Archives can't be run as a typical government office" and that "the National Archives and state-run archives ought to be run not by bureaucrats but by trained professionals – just like banks are run by bankers." http://timesofindia.indiatimes.com/home/opinion/edit-page/National-Archives-cant-be-run-as-a-typical-government-office/articleshow/12734169.cms

<u>Kazakhstan.</u> In an example of using records for control, a new law in Kazakhstan requires religious organizations to register annually (including submitting personal information on its members), religious workers to register annually, and all religious literature must be reviewed by the state's Religious Affairs Agency. *Transitions Online* quoted a Kazakh religious scholar as seeing the registration law "as nothing but a detailed guide to suppressing believers' rights and freedoms." <a href="http://www.tol.org/client/article/23098-kazakhstans-faith-registry.html?utm_source=TOL+mailing+list&utm_campaign=ca56937f71-TOL_newsletter4_17_2012&utm_medium=email_

<u>Lebanon.</u> UMAM Documentation and Research (a Beirut NGO), the Modern Arab World Research Center and the International Center for Transitional Justice launched a pilot project and website Badna Naaref ("we want to know"). It presents more than 100 summaries of interviews with people who were youth during the 1975-1990 war in Lebanon. The interviews were conducted by students in Beirut public and private schools to develop awareness "about the negative repercussions of political violence." http://www.badnanaaref.org/

<u>Iraq.</u> Land issues are a constant in conflict zones. Kurds in Iraq were forced to relocate in the mid-1970s as part of the government's policy to "dilute ethnic opposition." According to the *New York Times*, "Kurds say that property records that would verify their ownership claims were destroyed." Now Kurds have returned to their former properties, but the Arabs to whom the properties were transferred in the 1970s are contesting their ownership. The *Times* reports the case of a man who "was taken to a police station in handcuffs several months ago and forced to sign papers turning the property over to an Arab who held the deed from 1975 to 2003." http://www.nytimes.com/2012/04/22/world/middleeast/displacement-of-kurds-tests-iraqs-fragile-unity.html

<u>Philippines.</u> In an opinion piece in the *New York Times*, Gina Apostol recounts her rummage through Imelda Marcos's office after the Marcos were ousted. "I found piles of confidential military documents mixed with love letters from male pop singers," she wrote, adding that she found "banal evidence of a more ominous evil: boring documents outlining strategic maneuvers, backed by American aid." http://www.nytimes.com/2012/04/29/opinion/sunday/in-the-philippines-haunted-by-history.html?pagewanted=all

Romania. The European Court of Human Rights ordered the government to adopt legislation by July to solve the issue of restitution to former owners of properties confiscated by the Communist government. *Balkan Insight* reports that only about 11 percent of claims have been

resolved and "some properties have been illegally given to people who forged ownership documents or inheritance papers" because "the files of the real owners of properties dispossessed by the Communists lie abandoned in the archives of the Property Restitution Agency." http://www.balkaninsight.com/en/article/romania-plans-controversial-new-properties-restitution-law

<u>Turkey.</u> April was a very busy month in Turkey's justice system. Not only did the two surviving leaders of the 1980 coup go on trial, but dozens more current and former military were arrested in an investigation of events in 1997 and other alleged coup attempts. While the evidence in the trial of the 1980 coup leaders has not yet been offered, archives are likely to play a major role.

http://www.aljazeera.com/programmes/insidestory/2012/04/2012458312153239.html For a Turkish law professor's views on the human rights implications of the trial, see http://www.guardian.co.uk/commentisfree/2012/apr/11/turkey-coup-human-rights-violations/print

<u>United Kingdom.</u> The South London Healthcare NHS Trust lost two unencrypted USB sticks, *SC Magazine UK* reported. In one case, an employee downloaded the data to a personal memory stick to do some work at home; the missing stick contained data relating to approximately 600 maternity patients. In a second incident the stick contained names and dates of birth of 30 children and full audiology reports on another three children. The sticks were later found. http://www.scmagazineuk.com/nhs-trust-reports-losses-of-unencrypted-usb-sticks/article/236637/

<u>United States.</u> The National Labor College, the only higher education institution in the United States dedicated to educating labor leaders, decided to sell its campus which houses the archives of the AFL-CIO (American Federation of Labor/Congress of Industrial Organizations), the oldest and most important labor union in the United States. The college will "stop managing" the archives as of August 31 and will return them to the AFL-CIO, which is "considering many options" for their future, the *Chronicle of Higher Education* reported. http://chronicle.com/blogs/ticker/key-labor-archive-may-be-inaccessible-after-labor-college-sells-campus/42402. On a more positive note, the records of the Justice for Janitors campaign, which is credited with "the transformation of labor union policies toward immigrant workers," were donated to the University of California Library Special Collections by the Services Employees International Union United Service Workers West. http://newsroom.ucla.edu/portal/ucla/ucla-library-acquires-justice-232232.aspx

In a major settlement of lawsuits brought against the federal government by 41 Native American tribes, the Justice Department announced it agreed to pay more than \$1 billion to the tribes because the federal government "mismanaged monetary assets and natural resources held in trust by the United States for the benefit of the tribes." Records played a key role in determining the amount and distribution of the settlement. http://www.nytimes.com/2012/04/14/us/us-to-pay-1-billion-settlement-to-indian-tribes.html; for the Department of Justice press release, see http://www.justice.gov/opa/pr/2012/April/12-ag-460.html

An unusual archives is being established: the National Uranium Materials Archives in Oak Ridge, Tennessee. According to *knoxnews.com*, the archives will hold "a collection of uranium samples of various origins that could be used—in the event of nuclear terrorism, smuggling intervention or other institutions—to help determine where, and perhaps how, bomb-making materials were obtained." http://www.knoxnews.com/news/2012/apr/17/y-12-uranium-archive-to-be-used-for-nuclear/

Georgia. Ten backup discs containing information on approximately 315,000 surgical patients treated between September 1990 and April 2007 are missing from a storage location at Emory University Hospital. The records on the discs contained Social Security numbers for about three-fourths of the patients, and all the records included "patient names, dates of surgery, diagnoses, procedure codes or the name of surgical procedures, device implant information, surgeon names and anesthesiologist names," the press release from *Emory news center* reported. http://news.emory.edu/stories/2012/04/ehc_missing_data/campus.html

Kansas. As described in the March 2012 *HRWG News*, a doctor put hundreds of patients' medical records in a recycling bin. His actions are now under investigation by the U.S. Department of Health and Human Services, while the Kansas Board of Healing Arts, the state regulatory board, "is working on an agreement on the permanent custody of other files still in his [the doctor's] possession," the *Associated Press* reported. http://www.google.com/hostednews/ap/article/ALeqM5j1aMdhO4YDLzVedP6UcRZ6otL8zA?d ocId=cdb6f9716a84432d8d6e550479b82008

New Mexico. The danger of putting records on line was highlighted in a case of identity theft. According to the Star-Telegram, "An identity theft ring based in Albuquerque has stolen the identities of 232 people" by using "Tarrant County court records available free online for use by the public." The thieves used the information "to open lines of credit in the names of some of the victims." After discovering the theft, the county hired a contractor to review the 12 million court documents online, and the contractor found that 2 million of them "listed birth dates or Social Security or driver's license numbers." The contractor is now deleting the personal information before putting the records back on line. However, "the paper versions of the 2 million documents containing sensitive information are still available for public viewing at the courthouse, as required by law."

http://www.star-telegram.com/2012/04/01/3850878/new-mexico-ring-uses-tarrant-court.html

Oregon. Prior to 1949, Chinese immigrant workers often asked that their remains be returned to China if they died in the United States. Now a collection of documents that show how more than 550 workers' remains were not repatriated has been donated to Oregon State University, digitized, and made available on line. A Chinese-American civic group hopes the documents, which were originally given to the local public radio station by an "anonymous source," can help families locate ancestors gone missing early in the last century.

http://news.opb.org/article/rare_once-lost_pioneer_chinese_immigrant_docs_go_online/

South Carolina. An employee working for the Medicaid program in South Carolina "inappropriately transferred some personal information of more than 228,000" persons to his personal email, *The Post and Courier* reported. The information included names, phone

numbers, addresses, birth dates, Medicaid ID numbers and, in some cases, Social Security numbers. The employee has been arrested; the state law enforcement office "confirmed that at least one other party received the information" that was transferred to the personal email. http://www.postandcourier.com/article/20120419/PC16/120419166&slId=2

Utah. A breach of medical records also occurred in Utah, but unlike the stories from Georgia and South Carolina above, this time the hackers are believed to from Eastern Europe. The case began on March 30, when a computer server at the state's health department was hacked and information on nearly 900,000 people was stolen. The information stolen included Social Security numbers, names, addresses, and "other personal information." Officials assume that identity theft was the purpose of the hacking. For the Department's press release, see http://udohnews.blogspot.com/2012/04/data-breach-expands-to-include-more.html; for a news report, see http://www.google.com/hostednews/ap/article/ALeqM5hxs85uMjZ-J_7-1yY376qATtyNg?docId=2d8f2ca147054ba0bfb80b775c0c6725

<u>Uzbekistan.</u> Demonstrating the importance of personal papers of journalists in human rights investigations, a journalist for the *BBC World Service* has documented a program in Uzbekistan to sterilize women, including sterilization without consent. The journalist reported interviewing Uzbek women who had relocated to Kazakhstan and also "gathered testimony by telephone and email, and in recordings brought out of the country by courier." http://www.bbc.co.uk/news/magazine-17612550

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